

IN THE **UNITED STATES** PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

MAXWELL

CASE:

57640.010274

INFORMATION DISCLOSURE

STATEMENT

SERIAL NO.: 10/709,377

FILED ON:

30 April 2004

TITLE:

RACK AND PINION WHEEL DRIVE FOR AN INDUSTRIAL SLIDING BLADE DAMPER

ATTENTION OF: Art Unit 3376

EXAMINER:

Rose, Andrew J.

COMMISSIONER OF PATENTS P O Box 1450 Alexandria, VA 22313-1450

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 04-1030.

In accordance with 37 C.F.R. §1.56 and §1.98, Applicant respectfully submits herewith an Information Disclosure Statement under 37 C.F.R. §1.97(c) and 1.97(e), and informs the United States Patent and Trademark Office of information which may be material to the examination of the above-identified patent application.

The following U.S. Patent, which comprise the aforementioned information, is as follows:

01/03/2006 SSITHIB1 00000084 10709377

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GREENBERG TRAURIG, LLP	Richard Eugene Dick	27778	Mark R. Galis	36805
•	Richard D. Harris	27898	Brad R. Bertoglio	47422
77 WEST WACKER DRIVE SUITE 2500	Howard E. Silverman	32492	Jeffrey P. Dunning	50686
CHICAGO, ILLINOIS 60601	Douglas B. Teaney	33459	Jeffrey G. Mote	48791
(312) 456-8400 CUSTOMER NO. 34018	Herbert H. Finn	38139	Cameron M. Nelson	55486

PATENT/PUB. NO. ISSUE/PUB. DATE INVENTOR 5,330,720 19 Jul. 1994 Sorbo et al.

The information presented herein further comprises the following foreign references:

Country/Doc. No.	Pub. Date	Basis for relevance
DE 32 46 227 A1	14 Dec. 1982	Pipe leakage detection apparatus, especially for nuclear plants, comprising local monitoring chambers within insulation cladding containing detectors for radioactivity, pressure, temperature or humidity
EP 0 240 620 A1	14 Oct. 1987	English document
CH 682416 A5	25 May 1990	Sealing connector for leaking ducts; as a split collar clamps a sealing joint around a leak, a tap allows water to escape while the collar is being mounted
GB 2 226 417 A	27 Jun. 1990	English document
WO 01/44773 A2	21 Jun. 2001	English abstract
WO 02/088656 A1	7 Nov. 2002	English document

Copies of the foregoing non-US references are attached for consideration by the Examiner.

Applicant submits herewith the requisite fee of \$180.00 for consideration of the information contained in this information disclosure statement.

Consideration of the foregoing information, and return of an initialed copy of the PTO-1449 attached hereto, are respectfully solicited.

Should anything further be required, a telephone call to the undersigned at (312) 456-8400 is respectfully requested.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: ____/ ? · 23____, 2005

Richard D. Harris

One of Attorneys for Applicant

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 12-23, 2005.

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Should anything further be required, a telephone call to the undersigned, at (312) 456-8400, is respectfully invited.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: December <u>23</u>, 2005

Richard D. Harris

One of Attorneys for Applicant

CERTIFICATE OF MAILING

I hereby certify that this AMENDMENT AND COMMUNICATION is being deposited with the United States Postal Service as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 32, 2005.

Douglas D. Tearley

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/709,377		
Filing Date	30 April 2004		
First Named Inventor	Maxwell		
Art Unit	3376		
Examiner Name	Rose, Andrew J.		
Attorney Docket Number	040168		

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ļ	Number-Kind Code ^{2 (if known)}				
		^{US-} 5,330,720	07-19-1994	Sorbo et al.		
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
<u> </u>		DE 32 46 227 A1	12-12-1982			
		EP 0 240 620 A1	10-14-1987			
		CH 682416 A5	05-25-1990			
		GB 2 226 417 A	06-27-1990			
	1	WO 01/44773 A2	06-21-2001			
		WO 02/088656 A1	11-07-2002			

Examiner Date	
Examiner	
Signature Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTACHMENT TO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Art Unit	3376		
Examiner Name	Rose, Andrew J.		
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Examiner	Date	
Signature	Considered	